Docket No.

241315US2CONT/shb

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Eiji SHIDOJI, et al.

SERIAL NO:

10/635,816

GAU:

FILED:

August 7, 2003

EXAMINER:

- FOR:

SPUTTERING APPARATUS AND SPUTTER FILM DEPOSITION METHOD

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references, some of which are cited in the attached International
Search Report listed on the attached form PTO-1449. Copies of the listed references are attached, where required,
as are either statements of relevancy or any readily available English translations of pertinent portions of any non-
English language references.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present
application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s)
is attached along with PTO 1449.

☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in a communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment form is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Marvin J. Spivak

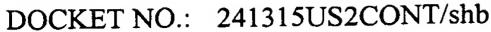
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STATEMENT OF RELEVANCY

Reference AU (JP 49-115085) on Form 1449:

A method for forming a thin film, comprising forming a thin film by sputtering, ion plating, electron beam vapor deposition, ion beam deposition, resistance heating and the like, characterized in that the method comprises intermittently making energy supply, and measuring a property of the thin film thus formed in an off-time period of the energy supply.

SHEET 1 OF 1

SEP 1 5 2003 PRADEMART ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 241315US2CONT 10/635,816 **APPLICANT** LIST OF REFERENCES CITED BY APPLICANT Eiji SHIDOJI, et al. **FILING DATE GROUP** August 7, 2003 **U.S. PATENT DOCUMENTS** SUB **EXAMINER DOCUMENT** FILING DATE **CLASS** DATE NAME INITIAL NUMBER CLASS IF APPROPRIATE AA AB AC **AD** ΑE AF AG FOREIGN PATENT DOCUMENTS **DOCUMENT** TRANSLATION DATE COUNTRY NUMBER YES NO 1 046 727 10/25/2000 EUROPE (with corr. JP 2001-3166) AH 2001-3166 01/09/2001 **JAPAN** X ΑI AJ 7-109569 04/25/95 **JAPAN** Χ Χ **JAPAN** 8-325725 12/10/96 AK X 06/22/92 4-173971 **JAPAN** AL 07/26/94 **JAPAN** X AM 6-207269 X 5-21347 01/29/93 JAPAN ΑN X 3-253568 11/12/91 AO JAPAN (with English Abstract) Χ 09/07/99 JAPAN (with English Abstract) AP 11-241162 X 6-212421 08/02/94 JAPAN (with English Abstract) AQ 08/31/93 X AR 5-222531 JAPAN (with English Abstract) X AS 5-222530 08/31/93 JAPAN (with English Abstract) 12/15/98 AT 10-330934 JAPAN (with English Abstract) 11/02/74 49-115085 X AU JAPAN ΑV OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AXΑY ΑZ Additional References sheet(s) attached **Date Considered** Examiner *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.